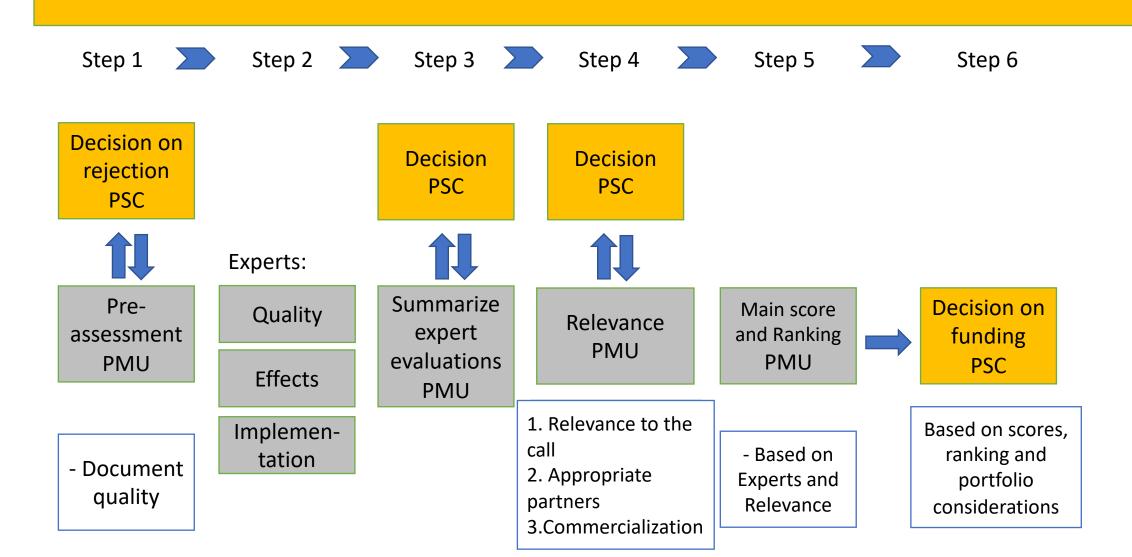
Energize Nepal - ENEP Project Assessment criteria and process



Step 1 Step 2 Step 3 Step 4 Step 5 Step 6 Decision on rejection **PSC** PSC Step 1 – This is a technical check to ensure that there is a correct applicant and that the application is in accordance with the requirements in the call tekst. Pre-Main score expert assessment funding PMU evaluations PMU **PMU** PSC Effects PMU -Relevance to the Based on scores, call - Based on ranking and tation -Appropriate - Document Experts and portfolio partners quality Relevance considerations

- Close to market

Step 2 Step 3 Step 4 Step 5 Step 1 Step 6 rejection PSC **Step 2 - This is the expert evaluation of the project** quality. Sub-items are respectively: 1. Quality 2. Experts: **Effects 3. Implementation.** Pre-Main score Quality expert assessment funding PMU evaluations PMU PMU PSC **Effects** PMU -Relevance to the Implemen-Based on scores, call - Based on ranking and tation -Appropriate - Document Experts and portfolio partners quality Relevance considerations - Close to market



Criterion 1 Excellence

The extent to which the proposed work is ambitious, novel, and goes beyond the state-of-the-art

- Scientific creativity and originality.
- Novelty and boldness of hypotheses or research questions.
- Potential for development of new knowledge beyond the current state of the art, including significant theoretical, methodological, experimental or empirical advancement.

The quality of the proposed R&D activities

- Quality of the research questions, hypotheses and project objectives, and the extent to which they are clearly and adequately specified.
- Credibility and appropriateness of the theoretical approach, research design and use of scientific methods. Appropriate consideration of interdisciplinary approaches.
- The extent to which appropriate consideration has been given to societal responsibility, ethical issues and gender dimensions in research content.
- The extent to which appropriate consideration has been given to the use of stakeholder/user knowledge.

Is it novel and original?

Is it solid?



Criterion 2 Impact

Potential impact of the proposed research

- The extent to which the planned outputs of the project address important present and/or future scientific challenges.
- The extent to which the planned outputs of the project address important present and/or future challenges for the sector(s).
- The extent to which the competence developed and planned outputs of the project will provide the basis for value creation in Nepali business and/or development of the public sector.
- The extent to which the planned outputs of the project address UN Sustainable Development Goals or other important present and/or future societal challenges.
- The extent to which the potential impacts are clearly formulated and plausible.

Communication and exploitation

- Quality and scope of communication and engagement activities targeted towards relevant stakeholders/users.
- The extent to which the partners are involved in dissemination and utilisation of the project results.

Is the research important?

Will appropriate steps for achieving impact be taken?



Criterion 3 Implementation

The quality of the project manager and project group

- The extent to which the project manager has relevant expertise and experience and demonstrated ability to perform high-quality research (as appropriate to the career stage).
- The degree of complementarity of the participants and the extent to which the project group has the necessary expertise needed to undertake the research effectively.

Are the right people in place?

The quality of the project organisation and management

- Effectiveness of the project organisation, including the extent to which resources assigned to work packages are aligned with project objectives and deliverables.
- Appropriateness of the allocation of tasks, ensuring that all participants have a valid role and adequate resources in the project to fulfil that role.
- Appropriateness of the proposed management structures and governance.
- Appropriateness of the partners' contribution to the governance and execution of the project.

Is it well-organised?



Scientific Quality - Scale of marks

Each of the criteria:

- 1. Excellence
- 2. Impact and
- 3. Implementation Are given a score from 7 to 1:

Mark Defining characteristics

Exceptional

The proposal addresses all relevant aspects of the criterion exceptionally well. Shortcomings are not present, or only very minor

Excellent

The proposal successfully addresses all relevant aspects of the criterion. Only minor shortcomings are present

Very good

- The proposal addresses the criterion very well. A small number of shortcomings are present
 - Good

The proposal addresses the criterion well. A number of shortcomings are present

Fair

3 The proposal broadly addresses the criterion, but there are a number of significant weaknesses

Weak

The criterion is inadequately addressed, or there are serious inherent weaknesses

Poor

The proposal fails to address the criterion or cannot be assessed due to missing or incomplete information

Step 3 Step 2 Step 4 Step 5 Step 1 Step 6 Decision rejection **PSC Step 3 - This is the PMU's summary and** PSC conclusion of the individual experts' Experts: assessment. The PMU discusses the **Summarize** Preproposals with the PSC which Quality expert assessment funding concludes. evaluations **PMU** PMU PSC Effects **PMU** -Relevance to the Based on scores, call - Based on ranking and tation -Appropriate - Document Experts and portfolio partners quality Relevance considerations

- Close to market

Step 2 Step 3 Step 4 Step 5 Step 6 Step 1 Step 4 - This is a collaboration between PMU and **PSC** to assess: Decision rejection 1. The project's relevance to the call PSC PSC PSC 2. Appropriate partners – a supplement to expert/implementation Experts: Pre-3. Do the industrial partner have the capacity to Quality Relevance take up the results for commercialization and/or expert assessment **PMU** upscaling? evaluations PMU Effects PMU PSC concludes. 1. Relevance to the Based on scores, call - Based on ranking and tation - Document 2. Appropriate Experts and portfolio quality partners Relevance considerations

3.Commercialization



1. Assessment of Relevance to the Call

- To what extent does the project satisfy the thematic guidelines and delimitations?
- To what extent does the project satisfy the requirements for partners in the project?

Suggestions for items we can look for:

- Does the application clearly show how the research organizations and partners collaborate in the project? Is it a clear role clarification?
- Has the project planned for the partners to actively participate in planning and disseminating results from the project, as well as ensuring that new knowledge is used? Are the partners represented in the project's steering group?
- Does the letter of intent indicate that the project is strategically anchored with the partners? Are the participation and the financial contribution described?
- Are there factors or uncertainties related to the partners' financing of the project that should be highlighted?
- Are there other factors or uncertainties related to the partners' participation that should be highlighted?



1. Assessment of Relevance to the Call (cont.)

3. To what extent does the project satisfy the purpose of competence building in the research environments?

Suggestions for items we can look for:

- To what extent does the application describe how the competence built up in the project benefits larger user groups?
- b) Does the project include recruitment positions? (only if this is a priority within the topic)
- Is the project funding used to strengthen the research activities at Nepali institutions, or is a large proportion of the funds used, for example abroad or for subcontracting services?
- d) Is the project funding used to strengthen the research activities, or does the funds mainly go to equipment / other?
- e) Are there other factors or uncertainties related to competence building that should be highlighted?

Are there factors in the application's document quality that affect the assessment of the above points, and which should be included in the assessment?



2. Appropriate partners

 Based on their knowledge of Nepali industry PSC and PMU will cooperate to increase the quality of the expert's assessment of partners.
 (Picture 7 Implementation)

3. Commercialization of results

- Based on their knowledge of Nepali markets and industry PSC and PMU will answer two Question:
- 1. If the research project is successful, how far from commercialization will the results be?
- 2. Do the industrial partner have the capacity to take up the results for commercialization and/or upscaling?



1. Scale of marks Relevance

Sub-points	Scoring
To what extent does the project satisfy the thematic guidelines and delimitations?	To a small / some / large / very large degree 0-3 points
To what extent does the project satisfy the requirements for partners in the project 2. Appropriate partners 3. Commercialization	To a small / some / large / very large degree 1-4 points
	Max .: 7



1. Scale of marks Relevance

Mark	Defining characteristics
7	Exceptional The proposal addresses all relevant aspects of the criterion exceptionally well. Shortcomings are not present, or only very minor
6	Excellent The proposal successfully addresses all relevant aspects of the criterion. Only minor shortcomings are present
5	Very good The proposal addresses the criterion very well. A small number of shortcomings are present
4	Good The proposal addresses the criterion well. A number of shortcomings are present
3	Fair The proposal broadly addresses the criterion, but there are a number of significant weaknesses
2	Weak The criterion is inadequately addressed, or there are serious inherent weaknesses
1	Poor The proposal fails to address the criterion or cannot be assessed due to missing or incomplete information

